

O I P E  
JUN 20 2000

*oo*  
*AP*  
**Certification under 37 CFR 1.8(a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Assistant Commissioner for Patents, Washington, D.C. 20231 on June 14, 2000.

Richard J. Streit

Name

*Richard J. Streit*  
Signature

DOCKET: CU-2140

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

APPLICANT:	Jan RAA et al	)
SERIAL NO:	09/511,582	) Group Art Unit: 1643
FILING DATE:	February 23, 2000	)
TITLE:	NOVEL, NON-ANTIGENIC, MUCOSAL ADJUVANT FORMULATION WHICH MODULATES THE EFFECTS OF SUBSTANCES, INCLUDING VACCINE ANTIGENS, IN CONTACT WITH MUCOSAL BODY SURFACES	)

The Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Box Missing Parts

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
& SUBMITTAL OF COMBINED DECLARATION & POWER OF ATTORNEY**

Dear Sir:

This is in response to the notice dated May 1, 2000 to file missing parts of application, a copy of which is attached.

Applicant submits herewith the original Combined Declaration & Power of Attorney.

Also enclosed is check in the amount of \$65, based on the small entity status of the applicant (Verified Small Entity Statement being filed simultaneously herewith), to cover the government fee for late filing of the Combined Declaration & Power of Attorney. Should any additional fee be deemed necessary, the Commissioner is authorized to charge our Deposit Account No. 12-0400.

A duplicate copy of this request is attached.

Respectfully submitted,



Richard J. Streit

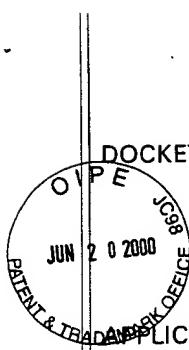
Attorney for Applicant

June 14, 2000

Date

/21

Richard J. Streit, Reg. 25765  
c/o Ladas & Parry  
224 South Michigan Avenue  
Chicago, Illinois 60604  
(312) 427-1300



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**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

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FORMULATION WHICH MODULATES THE )  
EFFECTS OF SUBSTANCES, INCLUDING )  
VACCINE ANTIGENS, IN CONTACT WITH )  
MUCOSAL BODY SURFACES )

The Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Box Missing Parts

**SUBMITTAL OF STATEMENT CLAIMING SMALL ENTITY STATUS**

Dear Sir:

Attached herewith is a Statement Claiming Small Entity Status in connection  
with the above-identified application.

Respectfully submitted,



Attorney for Applicant

\_\_\_\_\_  
June 14, 2000  
Date  
/23

Richard J. Streit, Reg. 25765  
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224 South Michigan Avenue  
Chicago, Illinois 60604  
(312) 427-1300

## FORMALITIES LETTER



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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

205-165  
H3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/511,582

02/23/2000

Jan Raa

CU-2140 TJK

Timothy J Keefer  
Ladas & Parry  
224 South Michigan Avenue  
Chicago, IL 60604



Date Mailed: 05/01/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, how ver, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

*L. Bell Tq*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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